TPPPA Training Recording Library

Date	Time	Duration	Series	Session Name	Session Description	Speaker	Recording Link	Eligible for AAP & APRP CEC
2/23/2022	Recording Link	60 mins	Best Practices (CMS)	Best Practices in Payment Processing: TPPPA CMS Control Framework	In this session we will discuss the control framework of the TPPPA's best practices in payment processing know as the TPPPA Compliance Management System (CMS) and how it will support your organization in building a documented, risk-based compliance management system. We will also discuss the regulatory guidance that supports this framework.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/4636858658918274063	Y
3/9/2022	Recording Link	60 mins	Best Practices (CMS)	Third-Party Risk Management and Its Role in the TPPPA CMS	In this session we will explore guidance on third-party risk management and third-party service providers and the due diligence and monitoring guidance of regulators. We will tie this to the role of a third-party payment processor and nested payment processors and ISOs.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/3154062775567349516	Y
3/23/2022	Recording Link	60 mins	Best Practices (CMS)	Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls	In this session we will discuss the proper way to design and maintain a risk assessment of your organizations payment processing program. We will discuss the categories of risk, such as fraud, operations, credit, compliance, legal, industry, consumer protection, payment systems, etc. We will also cover how documenting risk on your risk assessment should be related to the internal controls you have developed to mitigate the risk, and how this method allows simple strategies for testing your internal controls.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/4269119299045571336	Y
4/6/2022	Past Event	60 mins	Best Practices (CMS)	How to Build Your Unique Risk Assessment	This session will take the concepts we learned in Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls and provide examples of how to utilize these concepts in documenting a risk assessment that aligns with the distinct risks of your organization's payment processing program. We will cover a variety of examples particularly related to Consumer Protection compliance and legal risk for particular products, services and industries.	Marsha Jones President TPPPA	Member Only	Y
4/20/2022	Past Event	60 mins	Best Practices (CMS)	Developing, Testing and Maintaining Internal Controls Framework	In this session we will further expand our work with risk assessments and delve more deeply into internal controls, otherwise known as those things you do to mitigate a particular risk. Creating a risk assessment at the internal control level allows your company to quickly identify which controls are most critical so that you can create a risk-based internal control testing program and schedule. The primary purpose of self-testing internal controls on a regular basis is to provide your company with the opportunity to self-detect and self-correct when controls are inadequate or fail. A properly documented internal control testing program helps to support your company in demonstrating a culture of compliance.	Marsha Jones President TPPPA	Member Only	Y
5/4/2022	Recording Link	60 mins	Best Practices (CMS)	Policies and Procedures and Their Functions and How they Differ	In this session we will discover the different functions and purpose of Polices versus Procedures. Policies are directives of the Board that are designed to implement controls to mitigate the risks to the organizations payment processing program. Procedures are the instructions for implementing the board policies at a functional level. Both policies and procedures must be documented, approved by the board (policies) or management (procedures) and must be acknowledged by employees as they are implemented and updated. Employees should be trained on policies and procedures on a regular basis. Changes to policies and procedures must be tracked by version control and copies of previous policies and procedures should be archived for future review. These concepts and more will be explored in this session.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/125047880258905871	Y
5/18/2022	Past Event	60 mins	Best Practices (CMS)	Board Reporting and Oversight	In this session we will discuss the function of the Board of Directors in your organizations payment processing program. The Board is responsible for oversight of your program. The Board may designate day-to-day management responsibilities to senior management, but the Board must be able to demonstrate competent and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the Board and the reporting requirements expected by bank regulators. This session applies to both bank boards and boards of the payment processor.	Marsha Jones President TPPPA	Member Only	Y
6/1/2022	Recording Link	60 mins	Best Practices (CMS)	Due Diligence, Periodic Review and Ongoing Monitoring: A Risk-Based Approach	In this session we will distinguish the differences between Due Diligence, Periodic Review and Ongoing Monitoring. We will discuss how your organization can utilize its risk assessment and a customer risk score to develop risk-based practices that will allow targeted resources to be applied to those customers that present a higher risk to the organization, and few resources applied to low risk customers.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/8226761926750333712	Y
6/15/2022	Past Event	60 mins	Best Practices (CMS)	Risk-Based Due Diligence and Periodic Review	In this session we will take what we learned about utilizing a customer risk-score as well as third-party risk management and discuss how to establish a robust due diligence and periodic review program. This will allow your organization to target resources on those customers that present the greatest risk and fewer resources on those presenting lower risk. This methodology will allow your organization to perform risk-based due diligence and periodic review in an efficient manner for its entire customer base.	Marsha Jones President TPPPA	Member Only	Y
6/29/2022	Past Event	60 mins	Best Practices (CMS)	Ongoing Monitoring and Merchant Watch Lists	In this session we will explore how a risk-based approach, including a customer risk score can provide efficiencies in monitoring that will target resources toward higher risk activities, yet still ensure that all customers are monitored on an ongoing basis. We will also discuss how monitoring activities are connected to consumer watch lists, and what activities should trigger adding a merchant to a list. We will discuss the need for risk-management personnel with monitoring responsibilities be able to adjust the customer risk-score based upon monitoring activity, and complete suspicious incident reports/referrals to the bank as appropriate.	Marsha Jones President TPPPA	Member Only	Y
7/27/2022	Past Event	60 mins	Best Practices (CMS)	Compliance Officer and Program Manager Responsibilities	The Compliance Officer (Payment Processors) and the Program Manager (Banks) are responsible for maintaining the Compliance Management System for their companies under the TPPPA CMS Control Framework. What this means is that these individuals are responsible for maintaining documentation, maintaining and testing internal controls and ensuring that the board is kept informed through periodic report. In this session we will discuss in detail the expectations of Compliance Officers and Program Managers under the CMS Control Framework.	Marsha Jones President TPPPA	Member Only	Y
8/10/2022	Past Event	60 mins	Best Practices (CMS)	Preparing for Your CMS Certification Audit or Gap Analysis	In this session we will recap how all of the various CMS controls we covered in the previous sessions of the CMS Series work together. We will also discuss how to properly document these controls to be able to demonstrate that your company has developed and maintains a risk-based compliance management program that is distinct to your company. These documents will be the basis for the CMS Audit or Gap Analysis. *Whether you plan to engage in a CMS audit/gap analysis or not, this session will be a good recap for how the CMS Control Framework works and how to ensure you have proper documentation to demonstrate the strength of your compliance program.*	Marsha Jones President TPPPA	Member Only	Y
7/20/2022	Recording Link	60 mins	BSA/AML	Foundations of U.S. Anti-Money Laundering Efforts and International Cooperation	In this session you will learn about the foundational laws and regulations and the structures and institutions that support international cooperation.	Jay Postma MSB Compliance	https://attendee.gotowebinar.com/register/5988845646060201740	Y
8/3/2022	Past Event	60 mins	BSA/AML	BSA/AML Responsibilities of Payment Processors	This webinar is presented by MSB Compliance Inc. on behalf of the TPPPA. In this webinar you will learn about the responsibilities of payment processors related to BSA/AML Compliance, primary of which is keeping their bank(s) in compliance with the banks' obligations for BSA/AML.	Jay Postma MSB Compliance	Member Only - $169.00 for Non-Members, email membership@tpppa.org for non-member registration	Y
8/17/2022	Past Event	60 mins	BSA/AML	BSA/AML Responsibilities of Money Service Businesses (MSBs)	In this session we will explore the requirements and responsibilities of MSBs in supporting federal and international anti-money laundering efforts.	Jay Postma MSB Compliance	Member Only - $169.00 for Non-Members, email membership@tpppa.org for non-member registration	Y
8/31/2022	Recording Link	60 mins	BSA/AML	BSA/AML Responsibilities of Banks Serving Payment Processors and MSBs	In this session we will explore the oversite role of banks that provide banking services to payment processors and MSBs related to BSA/AML compliance.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/3134283664765081868	Y
9/7/2022	Past Event	60 mins	BSA/AML	Payment Processor BSA/AML Teamwork - Suspicious Activity Incident Referrals & Suspicious Activity Reports	In this session we will explore the critical coordination strategies of banks and payment processors in monitoring for and reporting suspicious activities.	Marsha Jones President TPPPA	Member Only - $169.00 for Non-Members, email membership@tpppa.org for non-member registration	Y
3/31/2022	Recording Link	60 mins	Payments	Nacha Rules Update	Nacha has made and continues to make significant and forward thinking changes to the Nacha Operating Rules that will impact banks, payment processors and merchants. In this session we will outline and discuss the changes and how they will impact the various constituents in the ACH Network. This session will be updated and repeated periodically throughout the year to keep members informed and provide opportunities for discussion.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/4929418912655497744	Y
4/21/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - April 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
5/19/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - May 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
6/16/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - June 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
7/21/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - July 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
8/18/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - August 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
9/15/2022	Past Event	60 mins	TPPPA Compliance Committee	TPPPA Compliance Committee Meeting - September 2022	The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.	Marsha Jones President TPPPA	Member Only	Y
3/3/2022	Past Event	60 mins	TPPPA Government Relations	TPPPA Government Relations Committee Meeting - March 2022	The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Catalyst/ TPPPA Members & Team	Member Only	Y
6/2/2022	Past Event	60 mins	TPPPA Government Relations	TPPPA Government Relations Committee Meeting - June 2022	The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Catalyst/ TPPPA Members & Team	Member Only	Y
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8/4/2022	Past Event	60 mins	TPPPA Government Relations	TPPPA Government Relations Committee Meeting - August 2022	The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Catalyst/ TPPPA Members & Team	Member Only	Y
9/1/2022	Past Event	60 mins	TPPPA Government Relations	TPPPA Government Relations Committee Meeting - September 2022	The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Catalyst/ TPPPA Members & Team	Member Only	Y
1/10/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - January 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
2/14/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - February 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
3/14/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - March 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
4/11/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - April 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
5/9/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - May 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
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7/11/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - July 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
8/8/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - August 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
9/12/2022	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - September 2022	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y

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