

Date	Time	Duration	Series	Session Name	Session Description	Speaker	Recording Link	Light for Add & AMP CFC
2/23/2022	Recorded	60 mins	Best Practices (EMC)	Best Practices in Payment Processing TPPA CFC Control Framework	In this session we will discuss the control framework of the TPPA's best practices in payment processing as the TPPA Compliance Management System (CMS) and how we will support your organization in building a documented, risk-based compliance system. We will also discuss the regulatory guidance that supports this framework.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/619885689877268	Y
3/6/2022	Recorded	60 mins	Best Practices (EMC)	Third-Party Risk Management and its Role in the TPPA CMS	In this session we will explore guidance on third-party risk management and third-party service providers and the due diligence and monitoring guidance of regulations. We will discuss the role of a third-party payment processor and related payment processors and CFC.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/701845977949144	Y
3/23/2022	Recorded	60 mins	Best Practices (EMC)	Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls	In this session we will discuss the proper way to design and maintain a risk assessment of your organization's payment processing program. We will discuss the categories of risk, such as Fraud, operations, credit, compliance, legal, industry, consumer protection, payment processors, etc. We will also cover how documenting an on-year risk assessment should be related to the internal controls you have developed to mitigate the risk, and how this method aligns with regulatory requirements for internal controls.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/666119269957136	Y
4/6/2022	Pat. Content	60 mins	Best Practices (EMC)	How to Build Your Unique Risk Assessment	This session will share the concepts we learned in Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls and provide examples of how to utilize these concepts in documenting a risk assessment that aligns with the distinct risks of your organization's payment processing program. We will cover a variety of examples particularly related to Consumer Protection compliance and legal risk for particular products, services and industries.	Mantha Jones President TPPA	Member Only	Y
4/20/2022	Pat. Content	60 mins	Best Practices (EMC)	Developing, Testing and Maintaining Internal Controls Framework	In this session we will further expand our work with risk assessments and delve more deeply into internal controls, otherwise known as those things you do to mitigate a particular risk. Creating a risk assessment at the internal control level allows your company to quickly identify which controls are most critical so that you can create a risk-based internal control testing program and schedule. The primary purpose of well-constructed internal controls on a regular basis is to provide your company with the opportunity to self-detect and self-correct when controls are inadequate or fail. A properly documented internal control testing program helps to support your company in demonstrating a culture of compliance.	Mantha Jones President TPPA	Member Only	Y
5/4/2022	Recorded	60 mins	Best Practices (EMC)	Policies and Procedures and Their Functions and How They Differ	In this session we will discuss the different functions and purposes of Policies versus Procedures. Policies are directives of the Board that are designed to implement controls to mitigate the risks to the organization's payment processing program. Procedures are the instructions for implementing the board policies at a functional level. Both policies and procedures must be documented, approved by the board (policies) or management (procedures) and must be acknowledged by employees as they are implemented and updated. Employees should be trained on policies and procedures on a regular basis. Changes to policies and procedures must be tracked by version control and copies of previous policies and procedures should be archived for future review. These concepts and more will be explored in this session.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/612418819894961	Y
5/18/2022	Pat. Content	60 mins	Best Practices (EMC)	Board Reporting and Oversight	In this session we will discuss the function of the Board of Directors in your organization's payment processing program. The Board is responsible for oversight of your program. The Board may designate day-to-day management responsibilities to senior management, but the Board must also be able to reevaluate oversight and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the Board and the reporting requirements imposed by bank regulations. This session applies to both bank boards and boards of the payment processor.	Mantha Jones President TPPA	Member Only	Y
6/1/2022	Recorded	60 mins	Best Practices (EMC)	Due Diligence, Periodic Review and Ongoing Monitoring: A Risk Based Approach	In this session we will distinguish the differences between Due Diligence, Periodic Review and Ongoing Monitoring. We will discuss how your organization can utilize risk assessment and a customer risk score to develop risk-based practices that will allow targeted resources to be applied to customers that are a higher risk to your organization, and the concepts applied to high-risk customers.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/60647616361061114	Y
6/15/2022	Pat. Content	60 mins	Best Practices (EMC)	Risk-Based Due Diligence and Periodic Review	In this session we will share our framework about utilizing customer risk scores in your third-party risk management and discuss how to establish a robust due diligence and periodic review program. This will allow your organization to target resources on those customers that present the greatest risk and fewer resources on those presenting lower risk. This methodology will allow your organization to perform risk-based due diligence and periodic review an efficient manner for better customer risk.	Mantha Jones President TPPA	Member Only	Y
6/16/2022	Pat. Content	60 mins	Best Practices (EMC)	Ongoing Monitoring and Merchant Watch Lists	In this session we will explore how a risk-based approach, including a customer risk score, can provide efficiencies in monitoring that will target resources toward higher risk activities yet still ensure that all customers are monitored on an ongoing basis. We will also discuss how monitoring activities are connected to customer watch lists, and what actions should trigger adding a merchant to a list. We will discuss the need for risk-management personnel with monitoring responsibilities be able to utilize the customer risk-based ongoing monitoring activity, and complete suspicious incident reports/referrals to the bank as appropriate.	Mantha Jones President TPPA	Member Only	Y
8/31/2022	Recorded	60 mins	Payments	Nacha Rules Update	Nacha has made and continues to make significant and forward thinking changes to the Nacha Operating Rules that will impact banks, payment processors and merchants, in this session we will outline and discuss the changes and how they will impact the various constituents in the ACH Network. This session will be updated and repeated periodically throughout the year to keep members informed and provide opportunities for discussion.	Mantha Jones President TPPA	https://www.tppa.com/recordings/recordings/602821194174497816	Y
4/12/2022	Pat. Content	60 mins	TPPA Compliance Committee	TPPA Compliance Committee Meeting - April 2022	The TPPA Compliance Committee will support the TPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee also reviews changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPA Compliance Management System.	Mantha Jones President TPPA	Member Only	Y
5/18/2022	Pat. Content	60 mins	TPPA Compliance Committee	TPPA Compliance Committee Meeting - May 2022	The TPPA Compliance Committee will support the TPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPA Compliance Management System.	Mantha Jones President TPPA	Member Only	Y
6/16/2022	Pat. Content	60 mins	TPPA Compliance Committee	TPPA Compliance Committee Meeting - June 2022	The TPPA Compliance Committee will support the TPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPA Compliance Management System.	Mantha Jones President TPPA	Member Only	Y
3/3/2022	Pat. Content	60 mins	TPPA Government Relations	TPPA Government Relations Committee Meeting - March 2022	The TPPA Government Relations Committee will help to develop the TPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Mantha Jones President TPPA	Member Only	Y
6/2/2022	Pat. Content	60 mins	TPPA Government Relations	TPPA Government Relations Committee Meeting - June 2022	The TPPA Government Relations Committee will help to develop the TPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Mantha Jones President TPPA	Member Only	Y
7/7/2022	Pat. Content	60 mins	TPPA Government Relations	TPPA Government Relations Committee Meeting - July 2022	The TPPA Government Relations Committee will help to develop the TPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.	Mantha Jones President TPPA	Member Only	Y
1/30/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - January 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
2/14/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - February 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
3/14/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - March 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
4/11/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - April 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
5/9/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - May 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
6/13/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - June 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y
7/11/2022	Pat. Content	60 mins	TPPA Monthly Member Meeting	TPPA Monthly Member Meeting - July 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest in the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of external events, to stay up-to-date on what your organization can do to help mitigate its risk.	Mantha Jones President TPPA	Member Only	Y

TPPA Members can access recordings with their member login. If you are not a member and would like to request access to a recording, please email membership@tppa.org. Some training session recordings may not be available to non-members.