

| Date      | Time           | Duration | Series                               | Session Name  | Session Description  | Speaker                            | Recording Link  | Eligible for SAP & APSP CEC |
|-----------|----------------|----------|--------------------------------------|---|--|------------------------------------|---|-----------------------------|
| 2/23/2022 | Recording Link | 62 mins  | Best Practice (CMS)                  | Best Practices in Payment Processing: TPPPA CMS Control Framework                                   | In this session we will discuss the control framework of the TPPPA's best practices in payment processing know as the TPPPA Compliance Management System (CMS) and how it will support your organization in building a documented, risk based compliance management system. We will also discuss the regulatory guidance that supports this framework.   | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/961648456818772664">https://attendee.gotowebinar.com/recording/961648456818772664</a> | Y                           |
| 3/9/2022  | Recording Link | 62 mins  | Best Practice (CMS)                  | Third-Party Risk Management and its Role in the TPPPA CMS   | In this session we will explore guidance on third-party risk management and third-party service providers and the due diligence and monitoring guidance of regulators. We will tie this to the role of a third-party payment processor and related payment processors and COs.   | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/314106775347349116">https://attendee.gotowebinar.com/recording/314106775347349116</a> | Y                           |
| 3/23/2022 | Recording Link | 62 mins  | Best Practice (CMS)                  | Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls | In this session we will discuss the proper way to design and maintain a risk assessment of your organization's payment processing program. We will discuss the categories of risk, such as credit, operations, credit, compliance, legal, industry, consumer protection, payment systems, etc. We will also cover how documenting risk on your risk assessment should be related to the internal controls you have developed to mitigate the risk, and how this method allows simple strategies for testing your internal controls.  | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/42891339045171136">https://attendee.gotowebinar.com/recording/42891339045171136</a>   | Y                           |
| 4/6/2022  | Pat Event      | 62 mins  | Best Practice (CMS)                  | How to Build Your Unique Risk Assessment  | This session will take the concepts we learned in Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls and provide examples of how to utilize these concepts in documenting a risk assessment that aligns with the distinct risks of your organization's payment processing program. We will cover a variety of examples particularly related to Consumer Protection compliance and legal risk for particular products, services and jurisdictions.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 4/26/2022 | Pat Event      | 62 mins  | Best Practice (CMS)                  | Developing, Testing and Maintaining Internal Controls Framework                                     | In this session we will further expand our work with risk assessments and delve more deeply into internal controls, otherwise known as those things you do to mitigate a particular risk. Creating a risk assessment at the internal control level allows your company to quickly identify which controls are most critical so that you can create a risk-based internal control testing program and schedule. The primary purpose of self-testing internal controls on a regular basis is to provide your company with the opportunity to self-diagnose and self-correct when controls are inadequate or fail. A properly documented internal control testing program helps to support your company in demonstrating a culture of compliance.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 5/4/2022  | Recording Link | 62 mins  | Best Practice (CMS)                  | Policies and Procedures and Their Functions and How They Differ                                     | In this session we will discuss the different functions and purpose of Policies versus Procedures. Policies are directives of the Board that are designed to implement controls to mitigate the risk to the organization's payment processing program. Procedures are the instructions for implementing the board policies at a functional level. Both policies and procedures must be documented, approved by the board (policy) or management (procedures), and must be acknowledged by employees as they are implemented and updated. Employees must be trained on policies and procedures on a regular basis. Changes to policies and procedures must be tracked to version control and copies of previous policies and procedures should be archived for future review. These concepts and more will be explored in this session. | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/715041883189595171">https://attendee.gotowebinar.com/recording/715041883189595171</a> | Y                           |
| 5/18/2022 | Pat Event      | 62 mins  | Best Practice (CMS)                  | Board Reporting and Oversight   | In this session we will discuss the function of the Board of Directors in your organization's payment processing program. The Board is responsible for oversight of your program. The Board may designate due diligence management responsibilities to senior management, but the Board must be able to demonstrate compliant and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the Board and the reporting requirements expected by bank regulation. This session applies to both bank boards and boards of the payment processor.  | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 6/1/2022  | Recording Link | 62 mins  | Best Practice (CMS)                  | Due Diligence, Periodic Review and Ongoing Monitoring: A Risk-Based Approach                        | In this session we will distinguish the difference between Due Diligence, Periodic Review and Ongoing Monitoring. We will discuss how your organization can utilize its risk assessment and a customer risk score to develop risk-based practices that will target limited resources to be applied to those customers that present a higher risk to the organization, and low resources applied to low risk customers.   | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/912479129270931212">https://attendee.gotowebinar.com/recording/912479129270931212</a> | Y                           |
| 6/15/2022 | Pat Event      | 62 mins  | Best Practice (CMS)                  | Risk-Based Due Diligence and Periodic Review  | In this session we will take what we learned about utilizing a customer risk score as well as third-party risk management and discuss how to establish a robust due diligence and periodic review program. This will allow your organization to target resources on those customers that present the greatest risk and fewer resources on those presenting lower risk. This methodology will allow your organization to perform risk-based due diligence and periodic review in an efficient manner for its entire customer base.  | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 3/31/2022 | Recording Link | 62 mins  | Payments                             | Nacha Rules Update  | Nacha has made and continues to make significant and forward thinking changes to the Nacha Operating Rules that will impact banks, payment processors and merchants. In this session we will outline and discuss the changes and how they will impact the various constituents in the ACH network. This session will be updated and repeated periodically throughout the year to keep members informed and provide opportunities for discussion.   | Marsha Jones<br>President<br>TPPPA | <a href="https://attendee.gotowebinar.com/recording/912479129270931212">https://attendee.gotowebinar.com/recording/912479129270931212</a> | Y                           |
| 4/21/2022 | Pat Event      | 62 mins  | TPPPA Compliance Committee           | TPPPA Compliance Committee Meeting - April 2022   | The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.  | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 5/10/2022 | Pat Event      | 62 mins  | TPPPA Compliance Committee           | TPPPA Compliance Committee Meeting - May 2022   | The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.  | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 6/16/2022 | Pat Event      | 62 mins  | TPPPA Compliance Committee           | TPPPA Compliance Committee Meeting - June 2022  | The TPPPA Compliance Committee will support the TPPPA's efforts in developing and maintaining industry best practices in payment processing compliance. The Committee will also review changes to rules and regulations that impact electronic payment processing and provide feedback and support in maintaining the TPPPA Compliance Management System.  | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 3/1/2022  | Pat Event      | 62 mins  | TPPPA Government Relations Committee | TPPPA Government Relations Committee Meeting - March 2022   | The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.   | Catalyz/TPPPA Members & Team       | <a href="#">Member Only</a>   | Y                           |
| 6/2/2022  | Pat Event      | 62 mins  | TPPPA Government Relations Committee | TPPPA Government Relations Committee Meeting - June 2022  | The TPPPA Government Relations Committee will help to develop the TPPPA Strategy for engaging with state and federal law makers, rule makers and regulators to support banks and payment processors in their compliance obligations in support of clear and consistent rules of the road in electronic payment processing.   | Catalyz/TPPPA Members & Team       | <a href="#">Member Only</a>   | Y                           |
| 1/30/2022 | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - January 2022   | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 2/14/2022 | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - February 2022  | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 3/14/2022 | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - March 2022   | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 4/11/2022 | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - April 2022   | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 5/9/2022  | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - May 2022   | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |
| 6/13/2022 | Pat Event      | 62 mins  | TPPPA Monthly Member Meeting         | TPPPA Monthly Member Meeting - June 2022  | The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyz and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.   | Marsha Jones<br>President<br>TPPPA | <a href="#">Member Only</a>   | Y                           |

TPPPA Member can access recordings with their member login. If you are not a member and would like to request access to a recording, please email [Members@tpppa.org](mailto:Members@tpppa.org). Some training session recordings may not be available to non-members.