

Date	Title	Duration	Series	Session Name	Session Description	Speaker	Recording Link	Open to All & App. SEC.
2/20/2021	Recording Link	60 mins	Best Practices (CMC)	Best Practices in Payment Processing: TPPA CMC Control Framework	In this session we will discuss the control framework of the TPPA's best practices in payment processing how the TPPA Compliance Management System (CMS) and how it supports your organization in building a documented, bank compliance management system. We will also discuss the regulatory guidance that supports this framework.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-cmc-control-framework-1070101	Y
2/24/2021	Recording Link	60 mins	Best Practices (CMC)	Third Party Risk Management and Its Role in the TPPA CMC	In this session we will explore guidance on third party risk management and third party service providers in the assessment and monitoring of suppliers. We will discuss the role of the role of a third party manager process and related payment processors and ISDs.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-third-party-risk-management-1070102	Y
3/10/2021	Recording Link	60 mins	Best Practices (CMC)	Understanding the Purpose and Function of Risk Assessment and Its Relationship to Internal Control	In this session we will explore the purpose and function of risk assessment and its relationship to internal control. We will discuss the integration of risk, such as fraud, operations, credit, compliance, legal, industry, consumer protection, regulatory, system, etc. We will also cover how documenting risk in your risk assessment should be related to the internal controls you have developed to mitigate the risk, and how the method allows simple access to the internal control framework.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-understanding-the-purpose-and-function-of-risk-assessment-1070103	Y
3/14/2021	Web Event	60 mins	Best Practices (CMC)	How to Build Your Unique Risk Assessment	This session will explore the purpose and function of risk assessment and its relationship to internal control. We will discuss the integration of risk, such as fraud, operations, credit, compliance, legal, industry, consumer protection, regulatory, system, etc. We will also cover how documenting risk in your risk assessment should be related to the internal controls you have developed to mitigate the risk, and how the method allows simple access to the internal control framework.	Martha Jones President TPPA	Member Only	Y
4/7/2021	Web Event	60 mins	Best Practices (CMC)	Developing, Testing and Maintaining Internal Control Framework	In this session we will further expand on work with risk assessment and drive more depth into internal controls, otherwise known as those things you do to mitigate a particular risk. Creating an assessment of the internal control framework for your company to identify which controls are most critical so that you can create a risk based internal control testing program and schedule. The primary purpose will be testing controls on a regular basis to provide your company with the opportunity to self-detect and self-correct when controls are inadequate or fail. A second, secondary internal control testing program helps to support your company in demonstrating a culture of compliance.	Martha Jones President TPPA	Member Only	Y
4/21/2021	Recording Link	60 mins	Best Practices (CMC)	olicies and Procedures and Their Functions and How They Differ	In this session we will explore the different functions and purposes of Policies versus Procedures. Policies are directives of the Board that are designed to implement controls to mitigate the risks to the operations payment processing program. Procedures are the best practices for implementing the best practices of a functional area. Both policies and procedures must be documented, approved by the board (policy) or management (procedures) and must be acknowledged by employees as they are implemented and updated. Employees should be trained on policy and procedure as a regular basis. Changes to policy and procedure must be tracked to ensure control and update of previous policy and procedure should be archived for future review. These concepts and more will be explored in this session.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-policies-and-procedures-1070104	Y
5/4/2021	2:00-3:00 PT	60 mins	Best Practices (CMC)	Board Reporting and Oversight	In this session we will discuss the function of the Board of Directors in your organizations payment processing program. The Board is responsible for oversight of your program. The Board may designate day-to-day management responsibilities to senior management, but the Board must also demonstrate compliance and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the board and the reporting requirements, expected by bank regulators. This session applies to both bank boards and boards of the payment processor.	Martha Jones President TPPA	Member Only	Y
5/12/2021	Web Event	60 mins	Best Practices (CMC)	Board Reporting and Oversight	In this session we will discuss the function of the Board of Directors in your organizations payment processing program. The Board is responsible for oversight of your program. The Board may designate day-to-day management responsibilities to senior management, but the Board must also demonstrate compliance and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the board and the reporting requirements, expected by bank regulators. This session applies to both bank boards and boards of the payment processor.	Martha Jones President TPPA	Member Only	Y
5/19/2021	Web Event	60 mins	Best Practices (CMC)	Due Diligence, Periodic Review and Ongoing Monitoring: Risk Based Approach	In this session we will explore the differences between Due Diligence, Periodic Review and Ongoing Monitoring. We will discuss how your organization can utilize risk assessment and control (risk) control to reduce the risk of fraud and other regulatory concerns. This session will be applied to those concerns that present a higher risk to the organization, and how resources are allocated to those concerns.	Martha Jones President TPPA	Member Only	Y
6/2/2021	2:00-3:00 PT	60 mins	Best Practices (CMC)	Risk Based Due Diligence and Periodic Review	In this session we will explore what we learned about calling a customer risk score as well as that your organization should have a risk based due diligence and periodic review program. This will allow your organization to target resources on those customers that present the highest risk. We will discuss the need for risk management personnel with the ability to perform risk based due diligence and periodic review in an efficient manner to reduce the risk.	Martha Jones President TPPA	Member Only	Y
6/29/2021	Web Event	60 mins	Best Practices (CMC)	Ongoing Monitoring and Merchant Watch List	In this session we will explore how a risk based approach, including a customer risk score can provide efficiencies in monitoring the larger resources toward higher risk activities, yet still ensure that all customers are monitored on an ongoing basis. We will discuss how monitoring activities are connected to consumer watch lists, and what activities should trigger adding a merchant to a list. We will discuss the need for risk management personnel with the ability to perform risk based due diligence and periodic review in an efficient manner to reduce the risk.	Martha Jones President TPPA	Member Only	Y
7/14/2021	Web Event	60 mins	Best Practices (CMC)	Compliance Officer and Program Manager Responsibilities	The Compliance Officer (Payment Processor) and the Program Manager (Bank) are responsible for maintaining the Compliance Management System in their companies under the TPPA CMC Control Framework. What this means is that these individuals are responsible for maintaining compliance, maintenance and testing internal controls and ensuring the risk is kept informed through periodic reports. In this session we will discuss the responsibilities of Compliance Officers and Program Managers under the CMC Control Framework.	Martha Jones President TPPA	Member Only	Y
7/28/2021	Web Event	60 mins	Best Practices (CMC)	Preparing for Your CMC Certification Audit or Review	In this session we will discuss how all of the various CMC concerns we covered in the previous sessions of the CMC Series work together. We will also discuss how to properly document these concerns to be able to demonstrate compliance to your company that developed a risk based compliance management program that is distinct to your company. These documents will be the basis for CMC Self-Certification, whether either you register as CMC Self-Certification or not. This session will be a good prep for how the CMC Control Framework would flow to ensure you have proper documentation to demonstrate the strength of your compliance program.	Martha Jones President TPPA	Member Only	Y
8/11/2021	Recording Link	60 mins	ISAA/AMA	Foundations of U.S. Anti-Money Laundering and International Cooperation	This webinar is presented by MSB Compliance, on behalf of the TPPA. In this session you will learn about the foundational laws and regulations, and the structure and institutions that support international cooperation.	Ms. Patricia MGB Compliance Officer	https://www.globe.com/tppa/tppa-foundations-of-us-aml-1070105	Y
8/25/2021	Recording Link	60 mins	ISAA/AMA	ISAA/AMA Responsibilities of Payment Processors	This webinar is presented by MSB Compliance, on behalf of the TPPA. In this webinar you will learn about the responsibilities of payment processors related to ISAA/AMA Compliance, primary of which is keeping their banks in compliance with the bank's obligations for ISAA/AMA.	Ms. Patricia MGB Compliance Officer	https://www.globe.com/tppa/tppa-isaa-ama-responsibilities-of-payment-processors-1070106	Y
8/24/2021	Recording Link	60 mins	ISAA/AMA	ISAA/AMA Responsibilities of Money Service Businesses (MSB)	This webinar is presented by MSB Compliance, on behalf of the TPPA. In this session you will learn about the responsibilities of MSBs in supporting federal and international anti-money laundering efforts.	Ms. Patricia MGB Compliance Officer	https://www.globe.com/tppa/tppa-isaa-ama-responsibilities-of-money-service-businesses-1070107	Y
8/23/2021	Recording Link	60 mins	ISAA/AMA	ISAA/AMA Responsibilities of Banks Serving Payment Processors and ISDs	In this session we will explore the oversight role of banks that provide banking services to payment processors and ISDs related to ISAA/AMA compliance.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-isaa-ama-responsibilities-of-banks-serving-payment-processors-and-isds-1070108	Y
10/6/2021	Web Event	60 mins	ISAA/AMA	Payment Processor (MSB) Return Suspicious Activity Incident Referrals & Suspicious Activity Reports	In this session we will explore the critical coordination strategies for bank and payment processors in monitoring for and reporting suspicious activities.	Martha Jones President TPPA	Member Only	Y
1/11/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - January 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
2/8/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - February 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
3/8/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - March 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
3/8/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - March 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
4/12/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - April 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
5/10/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - May 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
6/14/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - June 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
7/12/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - July 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
8/9/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - August 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
9/13/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - September 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
9/29/2022	Web Event	60 mins	TPPA Monthly Meeting	TPPA Monthly Member Meeting - October 2022	The TPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPA and matters of interest to the industry. We will get government relations updates from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPA on how to assess the risk of adverse events to your organization and what your organization can do to help mitigate its risk.	Martha Jones President TPPA	Member Only	Y
4/28/2022	Recording Link	60 mins	ISAA/AMA	Introduction to the Third Party Payment Processor Association	The Third Party Payment Processors Association (TPPA) was formed in the summer of 2022 to provide industry best practices support for payment processors and their banks. The TPPA created industry best practices in compliance management know as the TPPA Compliance Management System control framework, along with such, templates and training to support members in setting up risk based compliance management programs. The TPPA works with risk based and federal law makes to help support the creation of practical law regulations that support compliance and legal risk and practice guidance to banks and payment processors. Join us to learn more about the opportunity to participate in the work of the TPPA and the value of membership.	Martha Jones President TPPA	https://www.globe.com/tppa/tppa-introduction-to-the-third-party-payment-processor-association-1070109	N

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