

TPPPA 2021 Upcoming Training Schedule

Date	Time	Duration	Series	Session Name	Session Description	Speaker	Registration Link	Eligible for AAP & APRP CEC
4/29/2021	2:00-3:00 ET	60 mins		Live Introduction to the Third Party Payment Processors Association	The Third Party Payment Processors Association (TPPPA) was formed in the summer of 2013 to provide advocacy, leadership and support to payment processors and their banks. The TPPPA created industry best practices in compliance management know as the TPPPA Compliance Management System control framework, along with tools, templates and training to support members in setting up risk-based, documented compliance management programs. The TPPPA works closely with regulators and rule making bodies in service of the payments industry as a whole. We also work with state and federal law makers to help support the creation of practical laws and regulations that support consumer protection and provide clear and practical guidance to banks and payment processors. Join us to learn more about the opportunity to participate in the work of the TPPPA and the value of membership.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/register/607115588929251292	N
5/5/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Board Reporting and Oversight	In this session we will discuss the function of the Board of Directors in your organizations payment processing program. The Board is responsible for oversight of your program. The Board may designate day-to-day management responsibilities to senior management, but the Board must be able to demonstrate competent and regular oversight of the program. This is accomplished through the periodic board reporting on the program. This session will discuss the responsibilities of the Board and the reporting requirements expected by bank regulators. This session applies to both bank boards and boards of the payment processor.	Marsha Jones President TPPPA	Member Only	Y
5/19/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Due Diligence, Periodic Review and Ongoing Monitoring: A Risk Based Approach	In this session we will distinguish the differences between Due Diligence, Periodic Review and Ongoing Monitoring. We will discuss how your organization can utilize its risk assessment and a customer risk score to develop risk-based practices that will allow targeted resources to be applied to those customers that present a higher risk to the organization, and few resources applied to low risk customers.	Marsha Jones President TPPPA	Member Only	Y
6/2/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Risk-Based Due Diligence and Periodic Review	In this session we will take what we learned about utilizing a customer risk-score as well as third-party risk management and discuss how to establish a robust due diligence and periodic review program. This will allow your organization to target resources on those customers that present the greatest risk and fewer resources on those presenting lower risk. This methodology will allow your organization to perform risk-based due diligence and periodic review in an efficient manner for its entire customer base.	Marsha Jones President TPPPA	Member Only	Y
6/16/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Ongoing Monitoring and Merchant Watch Lists	In this session we will explore how a risk-based approach, including a customer risk score can provide efficiencies in monitoring that will target resources toward higher risk activities, yet still ensure that all customers are monitored on an ongoing basis. We will also discuss how monitoring activities are connected to consumer watch lists, and what activities should trigger adding a merchant to a list. We will discuss the need for risk-management personnel with monitoring responsibilities be able to adjust the customer risk-score based upon monitoring activity, and complete suspicious incident reports/referrals to the bank as appropriate.	Marsha Jones President TPPPA	Member Only	Y
6/30/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Compliance Officer and Program Manager Responsibilities	The Compliance Officer (Payment Processors) and the Program Manager (Banks) are responsible for maintaining the Compliance Management System for their companies under the TPPPA CMS Control Framework. What this means is that these individuals are responsible for maintaining documentation, maintaining and testing internal controls and ensuring that the board is kept informed through periodic report. In this session we will discuss in detail the expectations of Compliance Officers and Program Managers under the CMS Control Framework.	Marsha Jones President TPPPA	Member Only	Y
7/14/2021	2:00-3:00 ET	60 mins	Best Practices (CMS)	Preparing for Your CMS Certification Audit or Gap Analysis	In this session we will recap how all of the various CMS controls we covered in the previous sessions of the CMS Series work together. We will also discuss how to properly document these controls to be able to demonstrate that your company has developed and maintains a risk-based compliance management program that is distinct to your company. These documents will be the basis for the CMS Audit or Gap Analysis. Whether you plan to engage in a CMS audit/gap analysis or not, this session will be a good recap for how the CMS Control Framework works and how to ensure you have proper documentation to demonstrate the strength of your compliance program.	Marsha Jones President TPPPA	Member Only	Y
8/4/2021	2:00-3:00 ET	60 mins	BSA/AML	Foundations of U.S. Anti-Money Laundering Efforts and International Cooperation	In this session you will learn about the foundational laws and regulations and the structures and institutions that support international cooperation.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/register/847123502943507211	Y
8/18/2021	2:00-3:00 ET	60 mins	BSA/AML	BSA/AML Responsibilities of Payment Processors	This webinar is presented by MSB Compliance Inc. on behalf of the TPPPA. In this webinar you will learn about the responsibilities of payment processors related to BSA/AML Compliance, primary of which is keeping their bank(s) in compliance with the banks' obligations for BSA/AML.	Marsha Jones President TPPPA	Member Only	Y
9/1/2021	2:00-3:00 ET	60 mins	BSA/AML	BSA/AML Responsibilities of Money Service Businesses (MSBs)	In this session we will explore the requirements and responsibilities of MSBs in supporting federal and international anti-money laundering efforts.	Marsha Jones President TPPPA	Member Only	Y
9/15/2021	2:00-3:00 ET	60 mins	BSA/AML	BSA/AML Responsibilities of Banks Serving Payment Processors and MSBs	In this session we will explore the oversight role of banks that provide banking services to payment processors and MSBs related to BSA/AML compliance.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/register/7230266832607739147	Y
9/29/2021	2:00-3:00 ET	60 mins	BSA/AML	Payment Processor BSA/AML Teamwork - Suspicious Activity Incident Referrals & Suspicious Activity Reports	In this session we will explore the critical coordination strategies of banks and payment processors in monitoring for and reporting suspicious activities.	Marsha Jones President TPPPA	Member Only	Y
TBD	2:00-3:00 ET	60 mins	Cannabis	Cannabis Update: Banking and Payment Processing for Marijuana Related Businesses	2020 saw numerous states make changes to their laws related to recreational and medical marijuana. However, marijuana still remains illegal at a federal level. The industry has high hopes of seeing the new Congress and the incoming Biden Administration updating federal laws to support marijuana banking and the decriminalization of marijuana. This session will occur 2-3 times over 2021 to ensure that members are updated on the progress of legislation at a state and federal basis.	Trounman Pepper	Registration Link to Come	Y
TBD	2:00-3:00 ET	60 mins	Cannabis Banking & Payments	Hemp Update: Latest Developments in Compliance for Hemp/CBD Products	The 2018 Farm Bill legalized hemp at the federal level, but there has been little guidance offered to banks and payment processors who are interested in working with hemp-related businesses. In this webinar we will discuss some key developments in the cannabis industry, with a focus on guidance that is helpful for Payment Processors interested in working with hemp and/or marijuana-related businesses.	Trounman Pepper	Registration Link to Come	Y
TBD	2:00-3:00 ET	60 mins	Consumer Protectors	A Playbook for Success: Best Practices for Government Investigations and Other Compulsory Process Demands	In this webinar, we will discuss best practices for responding to government investigations, focusing on investigations brought by the Federal Trade Commission. We will share strategies for effective and efficient matter management, war stories illustrating the wisdom of our recommendations, and pro tips that will help you implement a playbook for success within your organization.	Trounman Pepper	Registration Link to Come	Y
TBD	2:00-3:00 ET	60 mins	Consumer Protectors	Best Practices for Avoiding Marketing Pitfalls	In this webinar, we will discuss best practices for marketing strategies and oversight. We will focus on the FTC's enforcement priorities, explore enforcement actions, and give some pro tips that will help you hopefully avoid marketing traps.	Trounman Pepper	Member Only	Y
TBD	2:00-3:00 ET	60 mins	Consumer Protectors	Privacy Update	In this webinar, we will provide you with an overview of privacy matters at a Federal, State and Global level that may impact your business as well as key strategies to address potential gaps in your privacy strategy.	Trounman Pepper	Registration Link to Come	Y
Various	2:00-3:00 ET	60 mins	Nacha Rules Update	Nacha Rules Update	Nacha has made and continues to make significant and forward thinking changes to the Nacha Operating Rules that will impact banks, payment processors and merchants. In this session we will outline and discuss the changes and how they will impact the various constituents in the ACH Network. This session will be updated and repeated periodically throughout the year to keep members informed and provide opportunities for discussion.	Marsha Jones President TPPPA	Member Only	Y
TBD	2:00-3:00 ET	60 mins	The Consumer Protectors	The Consumer Protectors	Consumer Protection laws and regulations are complex and often confusing. Payment processors, of all types, as well as their banks, need to understand the powers and authorities of those agencies that enforce Consumer Protection laws at both a federal and state level. Join the TPPPA and Trounman Sanders as we learn about the powers and authorities granted to the CFPB, the FTC and the States Attorney Generals, and how they frequently partner in their efforts to protect consumers.	Trounman Pepper	Registration Link to Come	Y
TBD	2:00-3:00 ET	60 mins	The Consumer Protectors	Consumer Protection and COVID-19	COVID-19 is rapidly altering the legal and regulatory landscape in which financial services businesses operate. This webinar will discuss some key developments and takeaways for payment processors related to consumer protection in light of COVID-19, focusing on federal guidance and enforcement actions, as well as significant state action. This webinar is brought to you by the TPPPA and will be presented by our partner Trounman Sanders.	Trounman Pepper	Registration Link to Come	Y
TBD	2:00-3:00 ET	60 mins	The Consumer Protectors	CFPB: Yesterday, Today and Tomorrow	The CFPB has evolved considerably since its inception in 2010, and we have experienced a shift in enforcement strategy over the past few years. Much of this shift is related to policy changes due to a shift in administration. In this webinar we will contrast the CFPB under the Obama Administration and the Trump Administration. We will also explore how enforcement objectives might look in the future and how best practices in compliance buffer the impact of shifts in administration.	Trounman Pepper	Member Only	Y
TBD	2:00-3:00 ET	60 mins	The Consumer Protectors	State Attorneys General: Evolving Concerns, Compliance and Enforcement	State Attorneys General have become more active in their efforts to protect consumers as it relates to banks and payment processors, especially when you take into consideration COVID-19. In this webinar we will discuss some key concerns of State Attorneys General, expectations, and their relationship to federal consumer protection agencies. We will focus on the efforts of the more active State Attorneys General and hopefully provide you with some key takeaways to help you mitigate future risk.	Trounman Pepper	Member Only	Y
TBD	2:00-3:00 ET	60 mins	The Consumer Protectors	Federal Trade Commission: Evolving Concerns, Compliance and Enforcement	The Federal Trade Commission (FTC) has been consistently active in their enforcement efforts related to violations of Consumer Protection laws, rules and regulations over the past few years and have been particularly engaged during the COVID-19 pandemic. The FTC also has a history of holding payment processors accountable for the activities of the merchants for whom they process payments, and has a history of seizing merchant reserves held by payment processors and their bank. In this session we will analyze recent FTC enforcement actions and warning letters issued by the FTC in an effort to understand the FTC's regulatory and enforcement priorities. This session will be presented by our partner Trounman Sanders, and is the next in our series "The Consumer Protectors"	Trounman Pepper	Member Only	Y
3/8/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - March 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y

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4/12/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - April 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
5/10/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - May 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
6/14/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - June 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
7/12/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - July 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
8/9/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - August 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
9/13/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - September 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
10/18/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - October 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
11/8/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - November 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
12/13/2021	2:00-3:00 ET	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - December 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y