

TPPPA Training Recording Library

Date	Time	Duration	Series	Session Name	Session Description	Speaker	Recording Link	Eligible for AAP & APRP CEC
2/10/2021	Recording Link	60 mins	Best Practices (CMS)	Best Practices in Payment Processing: TPPPA CMS Control Framework	In this session we will discuss the control framework of the TPPPA's best practices in payment processing know as the TPPPA Compliance Management System (CMS) and how it will support your organization in building a documented, risk-based compliance management system. We will also discuss the regulatory guidance that supports this framework.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/6809802589810299918	Y
2/24/2021	Recording Link	60 mins	Best Practices (CMS)	Third-Party Risk Management and Its Role in the TPPPA CMS	In this session we will explore guidance on third-party risk management and third-party service providers and the due diligence and monitoring guidance of regulators. We will tie this to the role of a third-party payment processor and nested payment processors and ISOs.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/2557173088859102727	Y
1/11/2021	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - January 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
2/8/2021	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - February 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
3/8/2021	Past Event	60 mins	TPPPA Monthly Member Meeting	TPPPA Monthly Member Meeting - March 2021	The TPPPA offers members the opportunity to join a monthly call that is designed to keep members informed of the changes in the external environment that may impact the risk (up or down) to their organizations. These calls will cover general updates about the TPPPA and matters of interest in the industry. We will get a government relations update from our partner Catalyst and cover legal and regulatory updates including enforcement actions. Each meeting will provide guidance from the TPPPA on how to assess the risk of external events to your organization and what your organization can do to help mitigate its risk.	Marsha Jones President TPPPA	Member Only	Y
3/10/2021	Recording Link	60 mins	Best Practices (CMS)	Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls	In this session we will discuss the proper way to design and maintain a risk assessment of your organizations payment processing program. We will discuss the categories of risk, such as fraud, operations, credit, compliance, legal, industry, consumer protection, payment systems, etc. We will also cover how documenting risk on your risk assessment should be related to the internal controls you have developed to mitigate the risk, and how this method allows simple strategies for testing your internal controls.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/1367157360775623683	Y
3/24/2021	Past Event	60 mins	Best Practices (CMS)	How to Build Your Unique Risk Assessment	This session will take the concepts we learned in Understanding the Purpose and Function of Risk Assessment and its Relationship to Internal Controls and provide examples of how to utilize these concepts in documenting a risk assessment that aligns with the distinct risks of your organization's payment processing program. We will cover a variety of examples particularly related to Consumer Protection compliance and legal risk for particular products, services and industries.	Marsha Jones President TPPPA	Member Only	Y
4/7/2021	Past Event	60 mins	Best Practices (CMS)	Developing, Testing and Maintaining Internal Controls Framework	In this session we will further expand our work with risk assessments and delve more deeply into internal controls, otherwise known as those things you do to mitigate a particular risk. Creating a risk assessment at the internal control level allows your company to quickly identify which controls are most critical so that you can create a risk-based internal control testing program and schedule. The primary purpose of self-testing internal controls on a regular basis is to provide your company with the opportunity to self-detect and self-correct when controls are inadequate or fail. A properly documented internal control testing program helps to support your company in demonstrating a culture of compliance.	Marsha Jones President TPPPA	Member Only	Y
4/21/2021	Recording Link	60 mins	Best Practices (CMS)	Policies and Procedures and Their Functions and How they Differ	In this session we will discover the different functions and purpose of Policies versus Procedures. Policies are directives of the Board that are designed to implement controls to mitigate the risks to the organizations payment processing program. Procedures are the instructions for implementing the board policies at a functional level. Both policies and procedures must be documented, approved by the board (policies) or management (procedures) and must be acknowledged by employees as they are implemented and updated. Employees should be trained on policies and procedures on a regular basis. Changes to policies and procedures must be tracked by version control and copies of previous policies and procedures should be archived for future review. These concepts and more will be explored in this session.	Marsha Jones President TPPPA	https://attendee.gotowebinar.com/recording/232441570629549062	Y

TPPPA Members can access recordings with their member login. If you are not a member and would like to request access to a recording, please email Membership@tpppa.org. Some training session recordings may not be available to non-members.